Kotak Infinity, 8th Floor, Bldg; No.21, Infinity Park, Off Western Express Highway, Goregaon Mulund Link Road,
Malad – (E), Mumbai – 400 097 ☎ 91 22 4285 8082/8083

**DP ID – IN300214**

# SCHEDULE OF CHARGES (Schedule A) **Rate Code – X7**

Dear Customer,

"The below tariff sheet will be applicable only on satisfaction of the conditions prescribed for the plan/product opted by you vide application No._____. In case, the conditions prescribed therein are not met or in case you are not eligible for the same, then the standard tariff sheet available on our website www.kotaksecurities.com shall be applicable".

With effect from 1st April, 2019 the schedule of charges are as follows:-

| Sr.No. | Account Head | Rate | Minimum Payable |
|---|---|---|---|
| 1 | Dematerialisation | Rs. 50/- per request and<br>Rs. 3/- per Certificate | -- |
| 2 | Rematerialisation | Rs.10/- for 100 securities<br>(shares, bonds, mutual fund units etc) | Rs 15/- |
| 3 | Regular ( Non- BSDA Account )<br>Market/Off-Market Transactions (Sell)<br><br>BSDA Account<br>Market/Off-Market Transactions (Sell) | 0.03% of the value of securities<br><br>0.06% of the value of securities<br>(Plus NSDL Charges) | Rs. 25/-<br><br>Rs. 44.50/-<br>(Plus NSDL Charges) |
| 4 | Regular Account Maintenance Charges | Rs 400/- Per Year. | -- |
| 5 | Pledge Charges | Rs. 50/- | Rs. 50/- |
| 6 | Invocations of Pledge | Rs. 50/- | Rs. 50/- |
| 7 | Charges for client master change<br>(Applicable for Address, Email, Mobile and Bank Updation) | Rs. 49/- per modification request | -- |
| 8 | DIS Booklet Re – Issuance | Rs.99/- | -- |
| 9 | Charges for CAS | Rs 3/- per month | -- |

**Notes :**

- There are no charges payable at the time of opening the account. All other charges will be billed on a monthly basis.
- In case of delays in the payment of charges, the demat account can be frozen for all operations till such time all dues are cleared.
- All market instructions for transfer must be received latest by 4.00 p.m. on the previous working day prior to the pay in day as per SEBI Guidelines. All off market instructions for transfer must be received at least 24 hours before the execution date. Late instructions would be accepted at the account holder's sole risk and responsibility.
- Charges are subject to revision at the company's sole discretion subject to prior intimation to the clients or on revision in Depository charges.
- Any service not quoted above will be charged separately.
- Value of transaction will be in accordance with rates provided by the Depository or actual transaction value, whichever is higher.
- STT and GST will be charged as per current applicable rate, any change in the rates the same will be applied.
- Quarterly statements of transaction may not be sent to the clients, if there is no transactions and no securities balance in the account. Account Maintenance Charges will not be levied in such cases.
- In accordance with the SEBI circulars (Ref # SEBI Circular No. CIR/MRD/DP/22/2012 dated August 27, 2012 and SEBI Circular No. CIR/MRD/DP/20/2015 dated December 11, 2015), DP's were advised to convert all such eligible demat accounts into BSDA unless such Beneficial Owners (BOs) specifically opt to continue to avail the facility of a regular demat account. For availing BSDA facility, the individual shall have only one BSDA in his/her name across all depositories. In case the BSDA account holder converts such account into Non-BSDA (regular account) account or the account holder ceases to meet the eligibility criteria for BSDA account, the charges applicable to Non-BSDA (regular account) shall be levied.
- For BSDA account holder, the Account Maintenance Charges (AMC) structure shall be on a slab basis; if the value of holdings
- (a) Upto Rs 50,000 there will be NIL AMC and
  (b) For Value of holding from Rs. 50,001 to Rs. 2,00,000 AMC will be upto Rs 100 per annum.
- For demat accounts having NIL transactions during the year, statement of holding will be sent only once in a year w.e.f.1st October 2012
- As per NSDL's circular dated August 30, 2013, NSDL will levy Rs.500/- as Annual Maintenance Charges for Corporate Accounts, effective 1st October, 2013. NSDL Charges would be levied to the respective account as regulatory charge and will be in addition to the DP charges levied by Kotak Securities Limited as per the schedule of charges.

I/We have read and understood the "Schedule of Charges" prescribed above and agree to abide by the same.

**Signature of account holders**

**Name & Sign of 1st Holder**

**Name & Sign of 2nd Holder**

**Name & Sign of 3rd Holder**

**Name & Sign of Client Witness**

**Name & Sign of Kotak Securities Witness**